



IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

ART UNIT: 2811

APPLICANT: Chien-Min Sung

SERIAL NO.: 10/769,554

FILED: 1/30/2004

CONFRM. NO.: 5868

FOR: DIAMOND COMPOSITE HEAT  
SPREADER AND ASSOCIATED  
METHODS

ATTORNEY DOCKET NO. 21154.DIV

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF PATENT  
APPLICATION Filing Date  
Granted**

**CERTIFICATE OF MAILING  
UNDER 37 C.F.R. § 1.8**

DATE OF DEPOSIT: 8/10/04

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Emily Megham*  
Emily Megham

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

In response to the Notice to File Missing Parts of Application mailed 6/16/04, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- ☐ Declaration, Power of Attorney and Petition.
- ☒ A small entity statement was filed in the prior case, and such status is still proper and desired.
- ☐ An Assignment from the inventors to \_\_\_\_\_.
- ☐ Prior Art Statement, Form PTO-1449, and copies of cited prior art.

Response To Notice To File Missing Parts Of Patent Application  
 Commissioner for Patents  
 Page 2

☐ A Petition for a \_\_\_\_\_-month Extension of Time Under 37 CFR 1.136(a).  
 Payment in the amount of 0.00 is enclosed.

The filing fee has been calculated as shown below.

FOR	NO. FILED	NO. EXTRA	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE			\$385.00	\$385.00	\$770.00	
TOT. CLAIMS	34-20=	14	x \$9.00 =	\$ 126.00	x \$18.00 =	
IND. CLAIMS	3-03=	0	x \$43.00 =	\$ 0.00	x \$86.00 =	
MULTIPLE DEPENDENT CLAIMS PRESENTED		1	\$145.00	\$ 145.00	\$290.00	
ASSIGNMENT FILING FEE			\$40.00		\$40.00	
SURCHARGE FEE			\$65.00	\$65.00	\$130.00	
			<b>TOTAL</b>	<b>\$ 721.00</b>	<b>TOTAL</b>	

☒ A check in the amount of \$721.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 10<sup>th</sup> day of August, 2004.

Respectfully submitted,

*M. Wayne Western*

M. Wayne Western  
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 MWW/DWO/ESE/em  
 Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/769,554	01/30/2004	Chien-Min Sung	21154

M. Wayne Western  
 THORPE NORTH & WESTERN, LLP  
 P.O. Box 1219  
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CONFIRMATION NO. 5868

## FORMALITIES LETTER



\*OC000000012960537\*

Date Mailed: 06/16/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$271** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$721** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$271**
  - **\$126** for **14** total claims over 20.

06/16/2004 BABRAHA1 00000035 10769554

01 FC:2001	365.00 OP
02 FC:2051	65.00 OP
03 FC:2202	126.00 OP
04 FC:2203	145.00 OP

- \$145 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE